



## EXPRESS MAIL CERTIFICATE

DOCKET NO. : 2420/122

APPLICANT : Michael D. Potter

TITLE : AN ELECTROSTATIC BASED POWER SOURCE AND  
METHODS THEREOF

Certificate is attached to the Information Disclosure Statement (1 page) and PTO-1449 Form (7 pages) in duplicate with 17 references of the above-named application.

"EXPRESS MAIL" NUMBER: EL983812503US

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PATENT  
Docket No.: 2420/122

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) :	Michael D. Potter	)	Examiner:
Serial No. :	10/705,656	)	Tamai I.E. Karl
Cnfrm. No. :	6139	)	Art Unit:
Filed :	November 10, 2003	)	2834
For :	AN ELECTROSTATIC BASED POWER SOURCE AND METHODS THEREOF	)	
		)	

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.97-1.98**

**Mail Stop: RCE**  
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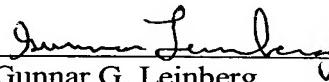
Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicant hereby brings to the attention of the United States Patent and Trademark Office, the references are listed on the attached PT/SB/08 form.

Pursuant to 37 CFR § 1.98(a)(2)(ii), copies of the cited U.S. Patents and U.S. Patent Application Publications (i.e. Reference Cite Nos. 1-216) are not enclosed. Copies of all other references cited (i.e. Reference Cite Nos. 217-233) are enclosed herewith.

Respectfully submitted,

Date: October 11, 2006

  
\_\_\_\_\_  
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OCT 11 2006  
U.S. PATENT AND TRADEMARK OFFICE  
PTO-1449A (10-01)

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/705,656
Sheet	1	of	7	Filing Date	November 10, 2003
				First Named Inventor	Michael D. Potter
				Art Unit	2834
				Examiner Name	Tamai IE Karl
				Attorney Docket Number	2420/122

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY		
1	US-2,978,066		04-04-1961	Nodolf	
2	US-3,118,022		01-14-1964	Sessler et al.	
3	US-3,397,278		03-13-1968	Pomerantz	
4	US-3,487,610		01-06-1970	Brown et al.	
5	US-3,715,500		02-06-1973	Sessler et al.	
6	US-3,731,163		05-01-1973	Shuskus	
7	US-3,742,767		07-03-1973	Bernard et al.	
8	US-3,858,307		01-07-1975	Yoshimura et al.	
9	US-3,924,324		12-09-1975	Kodera	
10	US-4,047,214		09-06-1977	Francombe et al.	
11	US-4,102,202		07-25-1978	Ferriss	
12	US-4,115,914		09-26-1978	Harari	
13	US-4,160,882		07-10-1979	Driver	
14	US-4,166,729		09-04-1979	Thompson et al.	
15	US-4,285,714		08-25-1981	Kirkpatrick	
16	US-4,375,718		03-08-1983	Wadsworth et al.	
17	US-4,490,772		12-25-1984	Blickstein	
18	US-4,504,550		03-12-1985	Pook	
19	US-4,513,049		04-23-1985	Yamasaki et al.	
20	US-4,581,624		04-08-1986	O'Connor	
21	US-4,585,209		04-29-1986	Aine et al.	
22	US-4,626,263		12-02-1986	Inoue et al.	
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24	US-4,701,640		10-20-1987	Flygstad et al.	
25	US-4,716,331		12-29-1987	Higgins, Jr.	
26	US-4,736,629		04-12-1988	Cole	
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29	US-4,794,370		12-27-1988	Simpson et al.	
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39	US-5,050,435		09-24-1991	Pinson	
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/705,656
Sheet	2	of	7	Filing Date	November 10, 2003
				First Named Inventor	Michael D. Potter
				Art Unit	2834
				Examiner Name	Tamai IE Karl
				Attorney Docket Number	2420/122

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		Number - Kind Code <sup>2</sup> (if known)			
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42	US-5,057,710		10-15-1991	Nishiura et al.	
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44	US-5,082,242		01-21-1992	Bonne et al.	
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57	US-5,180,623		01-19-1993	Ohnstein	
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				Filing Date	November 10, 2003
				First Named Inventor	Michael D. Potter
				Art Unit	2834
				Examiner Name	Tamai IE Karl
Sheet	3	of	7	Attorney Docket Number	2420/122

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		Number - Kind Code <sup>2</sup> (if known)			
	81	US-5,445,008	08-29-1995	Wachter et al.	
	82	US-5,474,599	12-12-1995	Cheney et al.	
	83	US-5,488,864	02-06-1996	Stephan	
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	88	US-5,520,522	05-28-1996	Rathore et al.	
	89	US-5,526,172	06-11-1996	Kanack	
	90	US-5,567,336	10-22-1996	Tatah	
	91	US-5,578,976	11-26-1996	Yao	
	92	US-5,591,679	01-07-1997	Jakobsen et al.	
	93	US-5,593,476	01-14-1997	Coppom	
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	95	US-5,596,194	01-21-1997	Kubena et al.	
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	97	US-5,635,739	06-03-1997	Griegg et al.	
	98	US-5,640,133	06-17-1997	MacDonald et al.	
	99	US-5,668,303	09-16-1997	Giesler et al.	
	100	US-5,671,905	09-30-1997	Hopkins, Jr.	
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	103	US-5,747,692	05-05-1998	Jacobsen et al.	
	104	US-5,771,148	06-23-1998	Davis	
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	106	US-5,788,468	08-04-1998	Dewa et al.	
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	109	US-5,807,425	09-15-1998	Gibbs	
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	111	US-5,839,062	11-17-1998	Nguyen et al.	
	112	US-5,846,302	12-08-1998	Putro	
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	114	US-5,871,567	02-16-1999	Covington et al.	
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121	US-5,941,501		08-24-1999	Biegelsen et al.	
122	US-5,955,932		09-21-1999	Nguyen et al.	
123	US-5,959,516		09-28-1999	Chang et al.	
124	US-5,967,163		10-19-1999	Pan et al.	
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132	US-6,033,852		03-07-2000	Andle et al.	
133	US-6,037,797		03-14-2000	Lagowski et al.	
134	US-6,040,611		03-21-2000	De Los Santos et al.	
135	US-6,043,727		03-28-2000	Warneke et al.	
136	US-6,046,659		04-04-2000	Loo et al.	
137	US-6,048,692		04-11-2000	Maracas et al.	
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140	US-6,069,540		05-30-2000	Berenz et al.	
141	US-6,089,534		07-18-2000	Biegelsen et al.	
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146	US-6,120,002		09-19-2000	Biegelsen et al.	
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148	US-6,124,632		09-26-2000	Lo et al.	
149	US-6,126,140		10-03-2000	Johnson et al.	
150	US-6,127,744		10-03-2000	Streeter et al.	
151	US-6,149,190		11-21-2000	Galvin et al.	
152	US-6,168,395 B1		01-02-2001	Quenzer et al.	
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154	US-6,170,332 B1		01-09-2001	MacDonald et al.	
155	US-6,177,351 B1		01-23-2001	Beratan et al.	
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157	US-6,197,139 B1		03-06-2001	Ju et al.	
158	US-6,199,874 B1		03-13-2001	Galvin et al.	
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160	US-6,214,094 B1		04-10-2001	Rousseau et al.	

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	161	US-6,238,946 B1	05-29-2001	Ziegler	
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	164	US-6,287,776 B1	09-11-2001	Hefti	
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	199	US-2002/0131230 A1	09-19-2002	Potter	
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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/705,656
				Filing Date	November 10, 2003
				First Named Inventor	Michael D. Potter
				Art Unit	2834
				Examiner Name	Tamai IE Karl
Sheet	6	of	7	Attorney Docket Number	
2420/122					

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> ( <i>if known</i> )			
	201	US-2002/0185003 A1	12-12-2002	Potter	
	202	US-2002/0187618 A1	12-12-2002	Potter	
	203	US-2002/0197761 A1	12-26-2002	Patel et al.	
	204	US-2003/0079543 A1	05-01-2003	Potter	
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	206	US-2003/0080839 A1	05-01-2003	Wong	
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	214	US-2005/0186117 A1	08-25-2005	Uchiyama et al.	
	215	US-2005/0205966 A1	09-22-2005	Potter	
	216	US-2005/0035683 A1	02-17-2005	Raisanen	

<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> Number <sup>4</sup> <i>(if known)</i>			
	217	JP 4-236172	08-25-1992	Osaka Gas Co. Ltd.	X
	218	JP 62-297534	12-24-1987	Seiko Epson Corp.	X
	219	JP 2000-304567 A	11-02-2000	Matsushita Electric Ind. Co. Ltd.	X

<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
	220	AGUILERA et al., "Electron Energy Distribution at the Insulator-Semiconductor Interface in AC Thin Film Electroluminescent Display Devices," <i>IEEE Transactions on Electron Devices</i> 41(8):1357-1363 (1994)			
	221	BROWN, et al., "A Varactor-Tuned RF Filter," <i>IEEE Trans. on MTT</i> , pp.1-4 (1999)			
	222	CASS, S., "Large Jobs for Little Devices," <i>IEEE Spectrum</i> , pp.72-73 (2001)			

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				Filing Date	November 10, 2003
				First Named Inventor	Michael D. Potter
				Group Art Unit	2834
				Examiner Name	Tamai IE Karl
Sheet	7	of	7	Attorney Docket Number	2420/122

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	223	CUI, Z., "Basic Information in Microfluidic System: A Knowledge Base for Microfluidic Devices," retrieved from the internet at <a href="http://web.archive.org/web/20011015071501/http://www.ccmicro.rl.ac.uk/info_microfluidics.html">http://web.archive.org/web/20011015071501/http://www.ccmicro.rl.ac.uk/info_microfluidics.html</a> (Oct. 15, 2001)			
	224	ILIC et al., "Mechanical Resonant Immunospecific Biological Detector," <i>Appl. Phys. Lett.</i> 77(3):450-452 (2000)			
	225	ILIC et al., "Single Cell Detection with Micromechanical Oscillators," <i>J. Vac. Sci. Technol. B</i> 19(6):2825-2828 (2001)			
	226	JUDY et al., "Surface Machined Micromechanical Membrane Pump," <i>IEEE</i> , pp.182-186 (1991)			
	227	KOBAYASHI et al., "Distribution of Trapped Electrons at Interface State in ACTFEL Devices," in Proceedings of the Sixth International Workshop on Electroluminescence, El Paso, Texas, May 11-13 (1992)			
	228	LASER & SANTIAGO, "A Review of Micropumps," <i>J. Micromech. Microeng.</i> 14:R35-R64 (2004)			
	229	SHOJI & ESASHI, "Microflow Devices and Systems," <i>J. Micromech. Microeng.</i> 4:157-171 (1994)			
	230	<a href="http://ucsub.colorado.edu/~maz/research/background.html">http://ucsub.colorado.edu/~maz/research/background.html</a> [Retrieved from Web site on 4/4/2001]			
	231	"Low-Power, High-Performance MEMS-Based Switch Fabric," at <a href="http://www.ece.ncsu.edu/erl/damemi/switchproj.htm1">http://www.ece.ncsu.edu/erl/damemi/switchproj.htm1</a> [Retrieved from Web site on 4/4/2001]			
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	233	"MEMS Technology Developers," at <a href="http://www.ida.org/DIVISIONS/std/MEMS/tech_fluids.html">http://www.ida.org/DIVISIONS/std/MEMS/tech_fluids.html</a> [Retrieved from the internet on June 13, 2002]			

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